Contribution ID: c0876296-fcae-4cf9-8157-17654cef0e40

Date: 02/09/2021 16:39:33

Public consultation on the Data Act

Fields marked with * are mandatory.

Introduction

The COVID-19 crisis has shown the essential role of data use for crisis management and prevention, and for informed decision-making by governments. Data also has a key place in the recovery of the EU, given its potential for innovation and job creation, as well as its contribution to the efficiency of industries across all sectors. Data will also contribute to achieving the goals of the European Green Deal.

With its <u>European strategy for data</u>, published on 19 February 2020, the Commission formulated a vision for the data economy. This includes the adoption of a horizontal legislative initiative (the 'Data Act') that would complement the <u>proposal for a Regulation on data governance</u>, which was adopted by the Commission in November 2020.

The objective of the Data Act is to propose measures to create a fair data economy by ensuring access to and use of data, including in business-to-business and business-to-government situations. The initiative would not alter data protection legislation and would seek to preserve incentives in data generation.

Under this initiative, a review of Directive 96/9/EC on the legal protection of databases is also planned in order to ensure continued relevance for the data economy.

This questionnaire aims at consulting all types of stakeholders, including citizens and businesses, about the different measures being explored in preparing the Data Act. It is divided into the following sections:

- I. Business-to-government data sharing for the public interest
- II. Business-to-business data sharing
- III. Tools for data sharing: smart contracts
- IV. Clarifying rights on non-personal Internet-of-Things data stemming from professional use
- V. Improving portability for business users of cloud services
- VI. Complementing the portability right under Article 20 GDPR
- VII. Intellectual Property Rights Protection of Databases
- VIII. Safeguards for non-personal data in international contexts

After the mandatory 'about you' section, please answer the sections that are of interest to you.

Please note that, although they all appear in the PDF questionnaire, some questions and the entire section on 'safeguards for non-personal data in international contexts' will only appear in the online questionnaire for respondents that indicated they are responding as a company/business organisation or as a business association.

The questionnaire will be available in all EU official languages on 11 June 2021.

Finally, please note that you can upload a document (e.g. position paper) at the end of the questionnaire.

About you

Bulgarian

Croatian

Czech

Danish

*Language of my contribution

Business association

Company/business organisation

Dutch	
Englis	sh
Eston	ian
Finnis	h
Frenc	h
Germa	an
Greek	
Hunga	arian
Irish	
Italian	
Latvia	n
Lithua	ınian
Maltes	se
Polish	
Portu	guese
Roma	nian
Sloval	k
Slove	
Spani	sh
Swedi	ish
*I am giving	my contribution as
	emic/research institution

Consumer organisation
EU citizen
Environmental organisation
Non-EU citizen
Non-governmental organisation (NGO)
Public authority
Trade union
Other
*First name
Rudolf
*Surname
SIEBEL
*Email (this won't be published)
rudolf.siebel@bvi.de
*Organisation name
255 character(s) maximum
BVI - German Investment Funds Association
*Organisation size
Micro (1 to 9 employees)
Small (10 to 49 employees)
Medium (50 to 249 employees)
Large (250 or more)
Business sector
Agriculture, forestry and fishing
Food processing, food supply chain
Automotive, including suppliers, manufacturing, retail, service and
maintenance and related after-market services
Household appliances, "smart living", including suppliers, manufacturing,
retail, service and maintenance and related after-market services

0	Machinery
0	Other manufacturing, including suppliers, manufacturing, retail, service and maintenance and related after-market services
0	Raw materials and energy-intensive industries
0	Construction
0	Passenger transportation (taxi, bus, train, plane, waterways)
0	Logistics
0	Postal services, including express services
	Telecommunications, including suppliers
	Retail and wholesale
	Media, publishing, broadcasting and related services including advertising
0	Creative and culture industries
0	Health
0	Proximity, social services and social economy
•	Finance, insurance and re-insurance (other than motor insurance)
0	Legal advice; market research
0	Production and/or transmission/supply of electricity, gas, water, steam and air,
	including related data services
0	IT
	Space and defense
	Textile
	Tourism
	Other
Tran	sparency register number
	character(s) maximum
	k if your organisation is on the transparency register. It's a voluntary database for organisations seeking to note EU decision-making.
9	6816064173-47
• 0	
	ntry of origin e add your country of origin, or that of your organisation.
()	Afghanistan Djibouti Libya Saint Martin
0	Åland Islands Dominica Liechtenstein Saint Pierre and
	Miquelon

0	Albania	0	Dominican	0	Lithuania	Saint Vincent
			Republic			and the
						Grenadines
	Algeria		Ecuador		Luxembourg	Samoa
	American Samoa		Egypt		Macau	San Marino
	Andorra		El Salvador		Madagascar	São Tomé and
						Príncipe
	Angola	0	Equatorial Guinea	a [©]	Malawi	Saudi Arabia
0	Anguilla		Eritrea		Malaysia	Senegal
	Antarctica		Estonia		Maldives	Serbia
	Antigua and		Eswatini		Mali	Seychelles
	Barbuda					
	Argentina	0	Ethiopia		Malta	Sierra Leone
	Armenia		Falkland Islands		Marshall Islands	Singapore
	Aruba		Faroe Islands	0	Martinique	Sint Maarten
	Australia		Fiji		Mauritania	Slovakia
	Austria		Finland	0	Mauritius	Slovenia
	Azerbaijan		France		Mayotte	Solomon Islands
0	Bahamas		French Guiana	0	Mexico	Somalia
	Bahrain		French Polynesia		Micronesia	South Africa
	Bangladesh		French Southern		Moldova	South Georgia
			and Antarctic			and the South
			Lands			Sandwich
						Islands
	Barbados		Gabon		Monaco	South Korea
	Belarus	0	Georgia	0	Mongolia	South Sudan
	Belgium	0	Germany	0	Montenegro	Spain
	Belize	0	Ghana		Montserrat	Sri Lanka
	Benin	0	Gibraltar		Morocco	Sudan
0	Bermuda		Greece		Mozambique	Suriname
0	Bhutan		Greenland	0	Myanmar/Burma	Svalbard and
						Jan Mayen
	Bolivia		Grenada		Namibia	Sweden

	Bonaire Saint Eustatius and Saba	0	Guadeloupe		Nauru	0	Switzerland
0	Bosnia and Herzegovina	0	Guam	0	Nepal	0	Syria
0	Botswana		Guatemala	0	Netherlands	0	Taiwan
0	Bouvet Island	0	Guernsey		New Caledonia	0	Tajikistan
0	Brazil		Guinea	0	New Zealand	0	Tanzania
0	British Indian Ocean Territory	0	Guinea-Bissau	0	Nicaragua	0	Thailand
0	British Virgin Islands	0	Guyana	0	Niger	0	The Gambia
0	Brunei		Haiti		Nigeria	0	Timor-Leste
0	Bulgaria		Heard Island and		Niue	0	Togo
			McDonald Islands	3			
0	Burkina Faso		Honduras		Norfolk Island	0	Tokelau
0	Burundi		Hong Kong	0	Northern	0	Tonga
					Mariana Islands		
0	Cambodia		Hungary	0	North Korea	0	Trinidad and
							Tobago
0	Cameroon		Iceland	0	North Macedonia	0	Tunisia
0	Canada		India	0	Norway	0	Turkey
0	Cape Verde		Indonesia	0	Oman	0	Turkmenistan
0	Cayman Islands		Iran	0	Pakistan	0	Turks and
							Caicos Islands
0	Central African		Iraq		Palau	0	Tuvalu
	Republic						
0	Chad		Ireland		Palestine	0	Uganda
0	Chile		Isle of Man	0	Panama	0	Ukraine
0	China		Israel		Papua New	0	United Arab
					Guinea		Emirates
0	Christmas Island		Italy		Paraguay	0	United Kingdom
	Clipperton	0	Jamaica	0	Peru	0	United States

0	Cocos (Keeling)	Japan	0	Philippines	0	United States
	Islands					Minor Outlying Islands
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	Colombia	Jersey		Pitcairn Islands		Uruguay
0	Comoros	Jordan	0	Poland	0	US Virgin Islands
0	Congo	Kazakhstan		Portugal		Uzbekistan
0	Cook Islands	Kenya		Puerto Rico		Vanuatu
0	Costa Rica	Kiribati		Qatar		Vatican City
0	Côte d'Ivoire	Kosovo		Réunion		Venezuela
0	Croatia	Kuwait		Romania		Vietnam
0	Cuba	Kyrgyzstan		Russia		Wallis and
						Futuna
0	Curaçao	Laos		Rwanda		Western Sahara
0	Cyprus	Latvia		Saint Barthélemy		Yemen
0	Czechia	Lebanon		Saint Helena		Zambia
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				Tristan da Cunha	l	
0	Democratic	Lesotho		Saint Kitts and		Zimbabwe
	Republic of the			Nevis		
	Congo					
0	Denmark	Liberia		Saint Lucia		

The Commission will publish all contributions to this public consultation. You can choose whether you would prefer to have your details published or to remain anonymous when your contribution is published. Fo r the purpose of transparency, the type of respondent (for example, 'business association, 'consumer association', 'EU citizen') country of origin, organisation name and size, and its transparency register number, are always published. Your e-mail address will never be published. Opt in to select the privacy option that best suits you. Privacy options default based on the type of respondent selected

*Contribution publication privacy settings

The Commission will publish the responses to this public consultation. You can choose whether you would like your details to be made public or to remain anonymous.

Anonymous

Only organisation details are published: The type of respondent that you responded to this consultation as, the name of the organisation on whose behalf you reply as well as its transparency number, its size, its country of origin and your contribution will be published as received. Your name will not be published. Please do not include any personal data in the contribution itself if you want to remain anonymous.

Public

Organisation details and respondent details are published: The type of respondent that you responded to this consultation as, the name of the organisation on whose behalf you reply as well as its transparency number, its size, its country of origin and your contribution will be published. Your name will also be published.

I agree with the personal data protection provisions

I. Business-to-government data sharing for the public interest

Access to private sector data can provide public authorities in the EU with valuable insights, for example to improve public transport, make cities greener, tackle epidemics and develop more evidence-based policies. To facilitate such data sharing, the European strategy for data announced that one of the objectives of the Data Act would be to create a framework to bring certainty to business-to-government (B2G) data sharing for the public interest and help overcome the related barriers.

In this context, 'public interest' is understood as general benefits to society as a whole – like effective responses to disasters or crises and improvements to public services – as recognised in law, at EU or Member State level. Some key examples are provided in the question "*In which of the following areas do you think that, for specific use-cases with a clear public interest, B2G data sharing should be compulsory, with appropriate safeguards?*"

This framework could set the objectives, general obligations and safeguards that should be put in place for B2G data sharing.

An <u>Expert Group on B2G data sharing</u>, whose <u>report</u> was published in February 2020, issued a number of recommendations in order to ensure scalable, responsible and sustainable B2G data sharing for the public interest. In addition to the recommendation to the Commission to explore a legal framework in this area, it presented several ways to encourage private companies to share their data. These include both monetary and non-monetary incentives, for example tax incentives, investment of public funds to support the development of trusted technical tools and recognition schemes for data sharing.

In this section, we would like to hear your views on how the Commission should foster B2G data sharing for public interest purposes.

Have you or has your organisation experienced difficulties/encountered issues when requesting or responding to requests for access to data, in the context of B2G data sharing for the public interest?

- Yes
- O No
- I don't know / no opinion

Should the EU take additional action so that public sector bodies can access and re-use private sector data, when this data is needed for them to carry out their tasks in the public interest purpose?

- EU level action is needed
- Action at Member State level only is needed
- No action is needed
- I don't know / no opinion

To what extent do you believe that the following factors impede B2G data sharing for the public interest in the EU?

	Strongly agree	Somewhat agree	Neutral	Somewhat disagree	Strongly disagree	I don't know /no opinion
Legal uncertainty due to different rules across Member States	•	0	0	0	0	0
Legal barriers to the use of business data for the public interest (e.g. on what data can be shared, in what form, conditions for re-use), including competition rules	•	•	•	•	•	•
Commercial disincentives or lack of incentives/ interest/ willingness	•	0	0	0	0	0
Lack of skilled professionals (public and/ or private sector)	0	•	0	0	0	0

Lack of bodies to help bring together supply and demand for data, and to promote, support and oversee B2G data sharing (e.g. provide best practice, legal advice)	•	©	•	©	•	•
Lack of safeguards ensuring that the data will be used only for the public interest purpose for which it was requested	•	•	0	•	0	0
Lack of appropriate infrastructures and cost of providing or processing such data (e. g. interoperability issues)	•	•	0	•	•	0
Lack of awareness (benefits, datasets available)	•	0	0	0	0	0
Insufficient quality of public authorities' privacy and data protection tools	0	0	•	0	0	0
Other	0	0	0	0	0	0

In which of the following areas do you think that, for specific use-cases with a clear public interest, B2G data sharing should be compulsory, with appropriate safeguards?

	Yes, it should be compulsory	No, it should not be compulsory	I don't know /no opinion
Data (e.g. mobility data from Telecom operators, loss data from insurance companies) for emergencies and crisis management, prevention and resilience	0	0	•
Data (e.g. price data from supermarkets) for official statistics	•	0	0
Data (e.g. emissions data from manufacturing plants) for protecting the environment	•	0	0
Data (e.g. fuel consumption data from transport operators) for a healthier society	•	0	0
Data for better public education services	0	0	•

Data (e.g. employment data from companies) for a socially inclusive society	•	0	0
Data for evidence-based public service delivery and policy- making	•	0	0
Other	•	0	0

Please specify

200 character(s) maximum

Harmonised regulatory reporting of financial services firms to supervisory and regulatory agencies and central banks is necessary.

When sharing data with public bodies, businesses should provide it:

- For free
- At a preferential rate/ below market price (marginal cost or other)
- At market price
- Depending on the purpose it may be provided at market price, preferential rate or for free
- I don't know/ no opinion

What safeguards for B2G data sharing would be appropriate?

- Data security measures including protection of commercially sensitive information
- Specific rules on proportionality and reasonableness of the request
- Transparent reporting on how the public authority has used the data
- Limitations regarding how long public bodies may use or store specific datasets before having to destroy them
- Other

Please specify

200 character(s) maximum

License and fee free use of B2G data, especially for publication. B2G data for public use, e.g. credit rating agencies data as in ESMA ERP.

Which of the following types of financial compensation would incentivise you to engage in a B2G data-sharing collaboration for the public interest (select all that apply):

Marginal costs for dissemination

Marginal costs for dissemination + fair return on investment (ROI)Market price
Which of the following types of non-monetary compensation would incentivise you to engage in a B2G data-sharing collaboration for the public interest (select all that
apply):
Tax incentives
Increased know-how and innovation through co-creation with public bodies
Reputation/ public recognition programmes (e.g. corporate social responsibility)
Investment of public funds to support the development of trusted technical tools for B2G data sharing
I don't know / no opinion
Other
Please specify
200 character(s) maximum
B2G data sharing needs to be required by law.

II. Business-to-business data sharing

In this section, we would like to hear your views on fair contractual terms and conditions as an important tool that can stimulate companies to exchange their data while safeguarding the freedom of contracts and in full compliance with applicable legislation (such as the GDPR or competition law). The Data Strategy intends to promote business-to-business (B2B) data sharing which will benefit in particular start-ups and SMEs, putting emphasis on facilitating B2B voluntary data sharing based on contracts. We are seeking options for promoting fairness in contracts governing access to and use of data.

Model contract terms would provide businesses willing to share data, but lacking the experience, in particular SMEs and start-ups, with practical guidance on how to set up the contract based on fair terms. The use of such model contract terms would be voluntary for the parties.

A legislative fairness test for all B2B data sharing contracts would create general boundaries with the purpose to prevent the application of abusive contract clauses imposed by the party with the stronger bargaining power on the weaker party. The fairness test would only address excessive clauses while all other terms would be left to the parties' contractual freedom. A contracting party would not be bound by an unfair contract term. Precedents for a B2B fairness test in EU law can be found in Directives 2011/7/EU (Late Payments) and Directive (EU) 2019/633 (Unfair trading practices in the food supply chain).

If sectoral rules were to establish a data access right, horizontal access modalities would regulate in a harmonized way how data access rights should be exercised while the possible creation of sectoral data access rights would be left to future sectoral legislation, where justified. The contract which the parties

would agree for such data access could be based on variations of fair, reasonable, proportionate, transparent and non-discriminatory terms taking into account possible specificities of the relevant sectoral legislation. Whenever personal data are concerned, processing of such data shall comply with the GDPR. The data concerned would not include commercially sensitive data that could facilitate collusive outcomes on the market, nor data that is very strategic for competition, including trade secrets, nor legally protected data, for instance those covered by intellectual property rights.

Does your company share data with other companies? (This includes providing data to other companies and accessing data from other companies)

- Yes
- O No
- I don't know / no opinion

Are you:

- Data holder
- Data user
- Both data holder and user
- Other

In the last five years, how often has your company shared data with other companies?

- Many times
- Only a few times
- Don't know

Please describe the type of data shared, and the type of businesses with whom it is shared

200 character(s) maximum

Fund data is shared with other companies, e.g. fund distributors and market data vendors. Data sources (exchanges, index providers, rating agencies, data vendor provide data which members use.)

On what basis does your company share data with other companies?

- Voluntary
- Mandatory
- Both voluntary and mandatory
- I don't know / No opinion

Why does your company share data with other companies?

 Optimisation of the supply chain Predictive maintenance Precision farming Moving to circular production Training algorithms for AI Design of innovative solutions/products Other
Please specify
200 character(s) maximum
To fulfil or support regulatory reporting requirements (CRR, Solvency II), to support distribution, to enable fund management and production.
Which services/products based on data sharing exist/are under development in your sector and what type of data are needed for these purposes? 300 character(s) maximum
All asset management products (advice, mandates, (iv) need all kinds of market data across the whole value chain.
What benefits from data sharing do you expect to be reaped in your sector? 300 character(s) maximum
Reduction in direct data costs and reduction in administrative effects (license cost and administration).
Has your company experienced difficulties/encountered issues when requesting access to other companies' data? Yes No I don't know / no opinion
How often did such difficulties occur in the last 5 years? Very often Often Sometimes Rarely
I don't know / no opinion

What was the nature of such difficulties/issues?

The data holder refused to give data on the basis of competition law concerns
■ The data holder refused to give access to data for reasons other than
competition law concerns
The data holder is prevented by law to give access to data
There is no legal basis for the data holder to give access to data
The data holder gave access to data at unreasonable conditions, e.g.
unilateral change of contractual terms, disproportionate restriction of use of
data, limitations in the termination of contract
■ The data holder gave access to data at an unreasonable price
▼ Technical reasons like the data was not in usable format or quality or lacks
shared vocabularies or metadata or the data holder doesn't support standard
for enforce data usage controls (connector)
Other
□ I don't know / no opinion

Please indicate the type of difficulties / issues

200 character(s) maximum

Excessive pricing and anti competitive usage conditions on market, index, rating, reference, ESG data imposed by oligopolistic or monopolistic data vendors.

Do you agree that the application of a 'fairness test', to prevent unilateral imposition by one party of unfair contractual terms on another, could contribute to increasing data sharing between businesses (including for example co-generated non-personal IoT data in professional use)?

- YesNo
- I don't know / no opinion

Do you agree that model contract terms for voluntary use in B2B data sharing contracts could contribute to increasing data sharing between businesses (including for example co-generated non-personal IoT data in professional use)?

- Yes
- No
- I don't know/ no opinion

Do you agree that horizontal access modalities based on variations of fair, reasonable and non-discriminatory conditions applicable to data access rights,

established in specific sectors, could contribute to increasing data sharing between businesses (including for example co-generated non-personal IoT data in professional use)?

- Yes
- O No
- I don't know / no opinion

What, in you view, could be the benefits or risks of the options mentioned in the three previous questions, for example in relation to incentives for data collection, competitiveness and administrative burden

300 character(s) maximum

Monopolistic and oligopolistic data sources need to be regulated in order to make available data required by regulatory reporting free of licenses and fees.

Regarding data access at fair, reasonable, proportionate, transparent and nondiscriminatory conditions, which of the following elements do you consider most relevant to increase data sharing?

at most 3 choice(s)

- The party sharing data obtains a reasonable yield on investment and the party requesting access to data pays a reasonable fee
- Distinctions can be made depending on the type of data or the purpose of its use
- Availability of standards for interoperability that would allow data sharing and exploitation at a low marginal cost (in terms of time and money)
- Structures enabling the use of data for computation without actually disclosing the data
- Availability of an impartial dispute settlement mechanism
- None of the above
- Other
- I don't know / no opinion

III. Tools for data sharing: smart contracts

This section seeks to get your views on smart contracts. Smart contracts are computer programs, which automatically execute data and/or value transfers according to certain predetermined parameters. Smart contracts have important potential in manufacturing 4.0, smart mobility, and smart energy. Smart contracts can play an important role here by automating data transfers and data pooling, by triggering payments for data transfers and for guaranteeing the implementation of conditions linked to a data transfer. The following questions aim to (1) solicit your experiences with smart contracts and relevant uses cases, and (2) get your

views on the need of harmonized standards for smart contracts in order to ensure interoperability and what the essential elements of such standards should be.

Are you using smart contracts or have you been involved in proofs of concept or pilots for Distributed Ledger Technologies that make use of smart contracts?

Yes

O No

Please briefly explain the use case(s) you tested

200 character(s) maximum

Fund processing, investment in illiquid assets, regulatory reporting solutions.

Do you consider that smart contracts could be an effective tool to technically implement the data access and use in the context of co-generated IoT data, in particular where the transfer is not only one-off but would involve some form of continuous data sharing?

Yes

O No

Please explain your answer

200 character(s) maximum

Smart contracts enable a more secure use of data in the process.

Do you consider that when individuals request data portability from businesses, smart contracts could be an effective tool to technically implement data transfers, in particular where the transmission is not only one-off but would involve some form of continuous data sharing?

Yes

No

Please explain your answer

200 character(s) maximum

No opinion

In your experience, what are the primary challenges for scaling smart contracts across blockchains and/or across ecosystems? Are these challenges related to: (0 lowest, 10 highest)

	1	2	3	4	5	6	7	8	9	10	
--	---	---	---	---	---	---	---	---	---	----	--

Legal uncertainty	0	0	0	0	0	0	•	0	0	0
Lack of interoperability	0	0	0	0	0	0	0	0	0	•
Difficulties with governance	0	0	0	0	0	0	•	0	0	0
Data protection issues	0	0	0	0	•	0	0	0	0	0
Competition law compliance concerns	0	0	0	0	•	0	0	0	0	0
Others	0	0	0	0	•	0	0	0	0	0

Please specify

There are no EU standards/law for smart contracts leading to lack of legal clarity and interoperability.

If interoperability is an issue for scaling smart contracts, which requirements should inform standardisation to scale smart contracts across blockchains and/or across ecosystems? Should such standards determine in particular minimum safeguards for cyber security? If so, which best practices would you consider relevant?

300 character(s) maximum

The EU should provide a (global) ISO standardisation forum which is financially supported to allow broad engagement by all market participants.

IV. Clarifying rights on non-personal Internet-of-Things data stemming from professional use

In this section, we would like to hear your views on non-personal data that is generated by smart objects connected to the Internet-of-Things ('loT objects') in professional use. Examples of such objects include industrial robots, machine tools with sensors, construction engines or smart farming equipment.

Do you currently or are you planning to use in the near future a smart object connecting to the Internet-of-Things?

- Yes
- [◎] No
- I don't know / no opinion

Do you agree that IoT objects and data coming from such objects may represent new challenges for market fairness when access to relevant information concerning the functioning and performance is held by the manufacturer of such object?

- Yes
- O No

I don't know / no opinion

Is your company in the business of after-sales services that use data from IoT
objects in professional use in order to offer that service (e.g. repair and
maintenance, data analytics services)?

Yes

[⊚] No

I don't know / no opinion

What was the nature of such difficulties?

Outright denial of data access

Prohibitive monetary conditions for data access

Prohibitive technical conditions for data access

Restrictive legal conditions for data access and use

Competition law compliance concerns

Other

I don't know / no opinion

V. Improving portability for business users of cloud services

In this section we would like to hear your views on cloud service portability. In order to prevent vendor lockin, it is necessary that business users can easily switch cloud providers, by porting their digital assets in the broadest sense, including data and applications, from one cloud provider to another provider or back to their own infrastructure and software on-premise IT systems, including those digital assets stored at the edge of the network.

Cloud service providers and cloud users have jointly developed <u>self-regulatory ('SWIPO') codes of conduct</u> to address this issue in IaaS- and SaaS-specific contexts (IaaS i.e. Infrastructure as a Service; SaaS, i.e. Software as a Service), as mandated by Regulation (EU) 2018/1807 on a framework for the free flow of non-personal data in the European Union.

As part of the Commission's evaluation of the development and implementation of the codes of conduct, the Commission will evaluate whether self-regulation in the field of business-to-business (B2B) data portability achieved the desired outcomes or whether other policy options should be considered.

The outcome of the <u>recent public consultation on European Strategy for Data</u> showed that 22.6% of the total respondents are of the opinion that the self-regulation is not the appropriate best practice in area of data portability. On the contrary, 30.8% agreed it is appropriate practice. The remaining (46.6%) of respondents did not express their opinion on the topic. However, 48% of the respondents answered that they have experienced problems in the functioning of the cloud market, the most common problem

experienced being vendor lock-in.

Considering the above, the following questions aim to receive additional input on the topic of B2B data portability.

Was your organisation aware of the SWIPO Codes of Conduct prior to filling in this questionnaire?

- Yes
- No
- I don't know /no opinion

In your opinion, could the SWIPO codes of conduct represent a suitable approach to address cloud service portability, if:

- The principles formulated in the self-regulatory SWIPO codes of conduct would be binding for all cloud services offered in Europe
- The codes of conduct would be supplemented by Standard Contractual Clauses translating the Codes' requirement into contractual elements
- Both
- Other

Please specify

200 character(s) maximum

Cloud users need to be protected through law as oligopolistic cloud providers do not care about self regulation.

Do you consider there is a need to establish a right to portability for business users of cloud computing services in EU legislation?

- Yes
- O No
- I don't know / no opinion

Please explain your answer, detailing as much as possible what this right should entail.

200 character(s) maximum

Full portability of all data at specific timeframes as explained in the introductory section.

What legislative approach would be the most suitable in your opinion, if the data portability right for cloud users would be laid down in an EU legislation?

We need a detailed set of rules protecting the rights of cloud and all data users against the mostly non-E based cloud and data providers which are enforced in the EU.	EΑ
200 character(s) maximum	
Please explain	
I don't know / no opinion	
Other solution	
data portability	
economic nature, including specification of the necessary elements to ena	ιble
More specific set of conditions of contractual, technical, commercial and	
another provider or proprietary servers, against minimum thresholds)	
data ported in a structured, widely used and machine-readable format to	,3
example, a provision stipulating that the cloud user has the right to have it	
High-level principle(s) recognising the right for cloud service portability (fo	r

Would the self-regulatory SWIPO codes of conduct on data portability developed by the cloud stakeholders in your opinion represent a suitable baseline for the development of such a legislative cloud service portability right?

- Yes
- Yes, but further elements would have to be considered (please be as specific as possible on which elements are currently not/insufficiently addressed in those codes of conduct – optional)
- O No
- No opinion
- I am not familiar with SWIPO codes of conduct

Please explain

See answer above. Legal rights

Would it be suitable to develop – as a part of legislative approach to cloud service portability - standard APIs, open standards and interoperable data formats, timeframes and potentially other technical elements?

- Yes
- O No
- I don't know / no opinion

Can you be more specific about which standards should be developed in this regard?

200 character(s) maximum

An ISO approach would be better as cloud companies/data is a global business with non-EEA firms being the media focus.

Do you consider that formally requesting European standardisation development organisations to design such standards or the necessary APIs would be an appropriate solution?

- Yes
- O No
- I don't know / no opinion

Would it be necessary in your opinion to develop Standard Contractual Clauses for cloud service portability to improve negotiating position of the cloud users?

- Yes, it would be necessary and sufficient as a stand alone solution.
- Yes, it would be necessary but in addition to a legislative right of data portability
- It would not be necessary but it would simplify the data portability and/or harmonise its aspects across the EU
- No, it would not be necessary
- No opinion

Do you have any other comments you would like to address with respect to cloud service portability, which were not addressed above?

300 character(s) maximum

Cloud and data services need to be subject to realistic controls like telecom providers.

VI. Complementing the portability right under Article 20 GDPR

In this section we would like to hear your views on the portability of personal data. Under Article 20 of the GDPR, individuals can decide to port certain personal data to an organisation or service of their choice. Non-discriminatory access to smart metering data is mandated by Article 23 Directive (EU) 2019/944 on common rules for the internal market for electricity. Additional rules are proposed for facilitating the portability of personal data generated in the context of an online service offered by a "gatekeeper platform" under Article 6(1)(h) of the proposal for a Digital Markets Act (COM(2020) 842 final).

Smart connected objects connected to the Internet-of-Things (IoT objects) and services available on them, e.g. smart home appliances or wearables, generate a growing amount of data. Normally, the data generated by such objects and by the services available on them in their interaction with their human users

are personal data. Such data is covered by the General Data Protection Regulation (GDPR). Any data stored in terminal equipment, such as connected objects, can only be accessed in accordance with Article 5 (3) of Directive 2002/58/EC (ePrivacy Directive). However, the obligations under Article 20 GDPR does not require the controller to put in place the technical infrastructure to enable continuous or real-time portability.

To what extent do you agree with the following statement: "Individual owners of a smart connected object (e.g. wearable or household appliance) should be able to permit whomever they choose to easily use the data generated by their use of that object."

	Strongly	agree
--	----------	-------

- Somewhat agree
- Neutral
- Somewhat disagree
- Strongly disagree
- I don't know / no opinion

To what extent do you agree with the following statement: "The device manufacturer of a smart connected object (e.g. wearable or household appliance) should be able to permit whomever they choose to easily use the data generated by the use of that object, without the agreement of the user."

- Strongly agree
- Somewhat agree
- Neutral
- Somewhat disagree
- Strongly disagree
- I don't know / no opinion

Among the elements listed below, which are the three most important elements that prevent the right under Article 20 GDPR to be fully effective?

The absence of an obligation to provide a well-documented Application
Programming Interface
The absence of an obligation to provide the data on a continuous basis
The absence of universally used methods of identification or authentication of
the individual that makes the portability request in a secure manner
The absence of clearer rules on data types in scope
The absence of clear rules on liability in case of misuse of the data ported

The absence of standards ensuring data interoperability, including at the semantic level

Other

I don't know / no opinion

VII. Intellectual Property Rights – Protection of Databases

The Directive 96/9/EC on the legal protection of databases (Database Directive) provides for two types of protection for databases. Firstly, databases can be protected, when original, under copyright law. Copyright protection applies to databases (collections of data) that are creative/original in the selection and/or arrangement of the contents and constitute their authors' own intellectual creation.

Secondly, databases for which a substantial investment has been made into the obtaining, presentation and verification of the data can benefit from the protection under the so-called "sui generis" right. Such protection is automatically granted to the maker of any database which fulfils these conditions. The maker of databases protected under the sui generis right can prevent the extraction or re-use of their database content. The Directive lays down two main mechanisms to manage rights of users: the exception regimes (including the provision of specific exceptions in the fields of teaching, scientific research, public security or for private purposes) and the rights of lawful users.

To sum up, the copyright protection of databases only arises where the structure of the database, including the selection and arrangement of the database's contents, constitute the author's own intellectual creation. The sui generis right protects, as an intangible asset, the results of the financial and/or professional investment carried out towards the methodical and systematic classification of independent data.

The Commission published a report evaluating the Database Directive in 2018. The evaluation highlighted that important questions arose as regards the interaction of the Directive with the current data economy, notably in view of the potential legal uncertainties as to the possible application of the sui generis right to machine generated data. The evaluation concluded that the Directive could be revisited to facilitate data access and use in the broad context of the data economy and in coordination with the implementation of a broader data strategy.

The following consultation is focusing on the aspect of the application of the Database Directive within the Data Economy, while also asking questions of a more general nature on this instrument.

Intellectual Property Rights - General questions

In your view, how are intellectual property (IP) rights (including the sui generis
database right) and trade secrets relevant for business-to-business sharing of data?
To protect valuable data through IP, where possible

- To share data in a manner that ensures control on who will use it and for what purposes
- To protect data from misappropriation and misuse
- To refuse sharing of data

V	IP has nothing to do with data sharing
	I don't know / no opinion
	Other

Please specify or explain

200 character(s) maximum

Most data is simple data sharing to be able to be protected by IP rights. Unfortunately, the EU - unlike the US - protects databases, thus inhibiting data sharing and innovation.

"Control over the accessibility and use of data should not be realised through the establishment of additional layers of exclusive, proprietary rights". To what extent do you agree with this statement?

- Strongly agree
- Somewhat agree
- Neutral
- Somewhat disagree
- Strongly disagree
- I don't know / no opinion

Please explain

200 character(s) maximum

The database Dir. offers unnesseary IP protection to oligopolistic/monopolistic data vendors from outside the EU which inhibit data usage/sharing/innovation especially in the SME sector.

Questions on the Database Directive

Please select what describes you best

- Maker of databases containing machine generated data
- Maker of databases containing other type of data than machine generated data
- Maker of databases containing mixed type of data
- User of databases containing machine generated data
- User of databases containing other type of data than machine generated data
- User of databases containing mixed type of data
- User-maker of databases containing machine generated data
- User-maker of databases containing other type of data than machine generated data
- User-maker of databases containing mixed type of data

0

Other

Please specify

Fund companies produce and consume machine generated and other data.

In your view, how does the Database Directive apply to machine generated data (in particular data generated by sensor-equipped objects connected to the Internet-of-things objects)?

- I consider that the sui generis right under the Database Directive may apply to databases containing those data and offers opportunity to regulate the relationship with clients, including licences
- I consider that the sui generis right under the Database Directive may apply to databases containing those data and offers protection against third-party infringements (i.e. unauthorised use of machine generated data)
- I am not sure what the relationship is between such data and the Database Directive
- Other

Please explain and substantiate your answers with concrete examples and any useful information and experience you may have.

200 character(s) maximum

The EU database Directive needs urgent reform to protect data uses against fee and license requests from oligopolistic/monopolistic data vendors, e.g. CUSIP Global Services.

According to your experience, which of these statements are relevant to your activity / protection of your data?

- The protection awarded by the sui generis right of the EU Database Directive is used to regulate contractual relationships with clients
- The protection awarded by the sui generis right of the EU Database Directive is used against third-party infringements
- The protection awarded by the Trade Secret Rights Directive [Directive (EU) 2016/943] is used against third-party infringements
- Other contractual means of protection are used
- Technical means to prevent illicit extraction of content are used
- There is certain content that is deliberately not protected
- I don't know / no opinion

Other

Please explain and substantiate your answers with concrete examples and any useful information and experience you may have.

200 character(s) maximum

Monopolistic non-EEA based data vendors may use the protection of the database Directive to acquire license controls and fees for the use of databases which are not protected by IP rights.

Have the sui generis database right provided by the Database Directive (Directive 96/9/EC) or possible uncertainties with its application created difficulties and prevented you from seeking to access or use data?

- Yes
- O No
- I don't know / no opinion

The difficulties you are aware of or have experienced because of the sui generis database right relate to the access or use of:

- Data generated in the context of Internet-of-things/machine generated data
- Data other than generated in the context of Internet-of-things/machine generated data
- Data, irrespective of their type (machine generated or data other than machine generated)
- No difficulties experienced
- I don't know / no opinion
- Other

What was the source of such difficulties?

- No difficulties experienced
- Difficulty to find the right holder of the sui generis database right (database maker)
- Lack of reaction from the part of the right holder of the sui generis database right / Refusal of cooperation from the part of the right holder of the sui generis database right
- Prohibitive licence fees
- ☑ Technical measures / technical difficulties
- Denied access despite the proposed use falling under one of the exceptions defined in the Database Directive

- Denied access despite the proposed use falling under the rights of the lawful user
- Lack of clarity regarding application of the sui generis right to the database (incl. possible legal consequences and risk of litigation)
- Other
- I don't know / no opinion

Please explain and substantiate your answers with concrete examples and any useful information and experience you may have. Please indicate how often you have encountered these difficulties in the past 5 years.

200 character(s) maximum

See the EU competition case S&P (Case COMP/39.592) and ESMA ERP usage restrictions.

To what extent do you agree that there is a need to review the sui generis protection for databases provided by the Database Directive, in particular as regards the access and sharing of data.

- Strongly agree
- Somewhat agree
- Neutral
- Somewhat disagree
- Strongly disagree
- I don't know / no opinion

Please explain and substantiate your answers with concrete examples and any useful information and experience you may have.

200 character(s) maximum

The database Directive needs to be revoked in total. The US - a leader in technology innovation - has no equivalent of the EU database Directive.

Do you think that it is necessary to clarify the scope of sui generis right provided by the Database Directive in particular in relation to the status of machine generated data?

- Yes
- ON O
- I don't know / no opinion

Please explain and substantiate your answers with concrete examples and any useful information and experience you may have.

200 character(s) maximum

The EU database Directive needs to be revoked as it inhibits data sharing, use and innovation in the EU. The US has no such law.

In your opinion, how should the new scope of the sui generis right be defined?

- By narrowing the definition of the scope to exclude machine generated data
- By explicitly including machine generated data in the scope
- I don't know / no opinion
- No need for a change of the scope
- Other

Please explain and substantiate your answer with concrete examples and any useful information and experience you may have. If possible, indicate also the impact on cost and potential benefits of your selected option.

200 character(s) maximum

The EU database Directive needs to be revoked completely to open up EU digital markets by enabling fair data use and sharing. The US does not have such law and a much more developed digital market.

Do you think that the Database Directive should provide specific access rules to ensure access to data and prohibit companies from preventing access and extraction through contractual and technical measures?

- Strongly agree
- Somewhat agree
- Neutral
- Somewhat disagree
- Strongly disagree
- I don't know / no opinion

In your opinion, how would specific access rules in the Database Directive be best achieved?

- Creating a new exception
- Creating compulsory licences to access data
- Creating general access right
- No need for a specific access rules
- Other

Please explain and substantiate your answers with concrete examples and any useful information and experience you may have. If possible, indicate also the impact on cost and potential benefits of your selected option. 200 character(s) maximum
Do you agree that databases held by public authorities should be treated differently
than other type of databases under the Database Directive? Strongly agree
Somewhat agree
Neutral
Somewhat disagree
Strongly disagree
I don't know / no opinion
In your opinion, how should databases held by public authorities be treated differently?
Creating an exception to the sui generis right
Excluding public sector databases from the scope of the sui generis right of the Database Directive
Creating compulsory licences to access public sector databases
No need for different treatment
Other
I don't know / no opinion
Please explain and substantiate your answers with concrete examples and any
useful information and experience you may have.
200 character(s) maximum
In 2018, the Commission published an Evaluation of Directive 96/9/EC on the legal

☐ I don't know / no opinion

In 2018, the Commission published an <u>Evaluation of Directive 96/9/EC on the lega protection of databases</u>, which was preceded by a public consultation. The Evaluation Report pointed out several legal uncertainties related to the Database Directive that may prevent the Directive from operating efficiently. Please indicate which of the following elements of the Database Directive could be reviewed:

1	Definition of a database
V	Notion of substantial investment in a database
V	Notion of substantial part of a database
V	Exclusive rights of database makers
V	Exceptions to the sui generis right
V	Notion of the lawful user and his rights and obligations
V	Term of protection
	No elements need to be reviewed
	I don't know/ no opinion
	Other

Please explain and substantiate your answers with concrete examples and any useful information and experience you may have. If possible, indicate also the impact on cost and potential benefits of your selected option.

200 character(s) maximum

The database Directive should be revoked in favour of a general access right and a compulsory license to access data.

Please provide any other information that you find useful regarding the application of the Database Directive in relation to the data economy.

200 character(s) maximum

Please see case S&P US ISIN (Case COMP/39.592) for practical application.

Questions about trade secrets protection

As indicated in the intellectual property action plan (<u>COM(2020) 760 final</u>), fostering data sharing requires a secure environment where businesses can keep investing in data generation and collection, while sharing them in a secure way, in particular as regards their confidential business information and their trade secrets.

At EU level, the legal protection of trade secrets is harmonised by the Trade Secret Directive (<u>Directive</u> <u>2016/943</u>), which has been transposed in all Member States and is not up for evaluation before 2026. It includes the definition of a trade secret, which means information meeting all of the following requirements:

- it is secret in the sense that it is not, as a body or in the precise configuration and assembly of its components, generally known among or readily accessible to persons within the circles that normally deal with the kind of information in question;
- it has commercial value because it is secret;
- it has been subject to reasonable steps under the circumstances, by the person lawfully in control of the information, to keep it secret.

The Directive defines cases of lawful and unlawful acquisition, use and disclosure of trade secrets. The Directive also specifies the measures, procedures and remedies in case of unlawful acquisition, use or disclosure of a trade secret. Exceptions to trade secret protection as well as the freedom to reverse engineer are also included in the directive.

Do you rely on the legal protection of trade secrets when sharing data with other businesses?

- Yes
- No
- I don't know / no opinion

Please indicate why

- We are not certain whether the legal protection for trade secrets applies
- We do not share commercially sensitive data with other businesses
- We do not share any data with other businesses
- I don't know / no opinion
- Other

If you share confidential business information, how do you ensure control over the use of your data by other businesses, i.e. that it is not misused, misappropriated or disclosed unlawfully?

- We rely on the legal protection of trade secrets
- We rely on intellectual property rights
- We rely on contractual arrangements
- We rely on technical means
- We do not take any specific measures to control the use of our data
- I don't know / no opinion
- Other

VIII. Safeguards for non-personal data in international contexts

Non-personal data generated by EU companies may be subject to access requests pursuant to provisions of laws of third (non-EU/EEA) countries. This would be specifically relevant when processing of such data occurs in a cloud computing service, the provider of which is subject to the laws of third countries. The recent proposal for a Data Governance Act does not cover such services. The access requests can be of a legitimate nature, in particular for certain cross-border criminal law investigations or in the context of administrative procedures. In particular, these requests may be made in the framework of multilateral or bilateral agreements that determine certain conditions and safeguards. Whereas the GDPR provides for rules and safeguards in this respect, for non-personal data there are currently no statutory law rules that

would oblige the cloud computing service providers to give precedence to EU law on the protection of IP and trade secrets. There can be differences in approach between the EU and third countries, e.g. to the fundamental rights safeguards or on the scope of legislation that can mandate access requests to data for law enforcement and other legitimate purposes. Where conflicts of law occur, this may expose the cloud providers to conflicting legal obligations and as a result of this conflict put commercially sensitive data of EU companies at risk.

How likely do you think it is that a cloud computing service or other data processing service provider that is processing data on your company's/organisation's behalf may be subject to an order or request based on foreign legislation for the mandatory transfers of your company/organisation data?

- This is a big risk for our company
- This is a risk for our company
- This is a minor risk for our company
- This not a risk at all for our company
- We do not use cloud computing/data processing service provider to store or process our company
- I don't know / no opinion

Please explain what order or request for the mandatory transfers of you company/ organization data would you consider as illegitimate or abusive and as such presenting the risk for your company:

200 character(s) maximum

Funds are most transparent investment vehicles.

Do you consider that such an order or request may lead to the disclosure and/ or misappropriation of a trade secret or other confidential business information?

- This is a big risk for our company
- This is a risk for our company
- This is a minor risk for our company
- This not a risk at all for our company
- I don't know / no opinion

Does the risk assessment related to such possible transfers of your company /organisation data to foreign authorities affect your decision on selection of the data processing service providers (e.g. cloud computing service providers) that store or process your company/organisation data?

Yes

I do not use data processing services to store or process my data I don't know / no opinion Please explain how it affects your decision 200 character(s) maximum In light of risk assessment of your data processing operations as well as in the context of applicable EU and national legal frameworks (e.g. national requirements to keep certain data in the EU/EEA), do you consider that your company /organisation data should be stored and otherwise processed: All of my company/organization data in the EU/EEA only Some of my company/organization data in the EU/EEA only All of my company/organization data anywhere in the world I don't know / no opinion Please explain what categories of data that should be stored in the EU/EEA only are concerned and why 200 character(s) maximum In your opinion, what would be the best solution at an EU regulatory level to mitigate the risk for European companies stemming from the request for access by foreign jurisdiction authorities to their data? Introducing an obligation for data processing service providers (e.g. cloud service providers) to notify the business user every time they receive a request for access to their data from foreign jurisdiction authorities, to the extent possible under the foreign law in question Introducing an obligation for data processing service providers to notify to the Commission, for publication on a dedicated EU Transparency Portal, all extraterritorial foreign laws to which they are subject and which enable access to the data they store or process on behalf of their business users Introducing an obligation for data processing service providers to put in place specified legal, technical and organisational measures to prevent the transfer to or access of foreign authorities to the data they store or process on behalf of their business users, where such transfer or access would be in conflict with

O No

EU or national laws or applicable international agreements on exchange of data	
Providing for compatible rules at international level for such requests.	
Other solution	
There is no action needed to address this	
■ I do not know / no opinion	
ease specify	
00 character(s) maximum	
osing section (possibility to upload a document, and to share final mments)	
mments) ease upload your file	
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mments) ease upload your file lly files of the type pdf,txt,doc,docx,odt,rtf are allowed	

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