



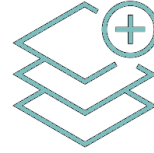
SWIFT/BVI-Initiative zur Ablösung der BVI-Excel-Sheets

Frankfurt, Oktober 2016

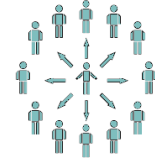
Asset Managers Challenge



Competition



Increasing number of transactions



Many Counterparts



Multi-Asset Investment Strategies



Regulatory Pressure



Compliance



NEED FOR



Standardization



Scalability



Outsourcing



Automation

Alliance Lite 2 offering



Alliance Lite 2 light footprint

1



Standard broadband **Internet connection**

2



Standard **Internet Explorer browser**

3



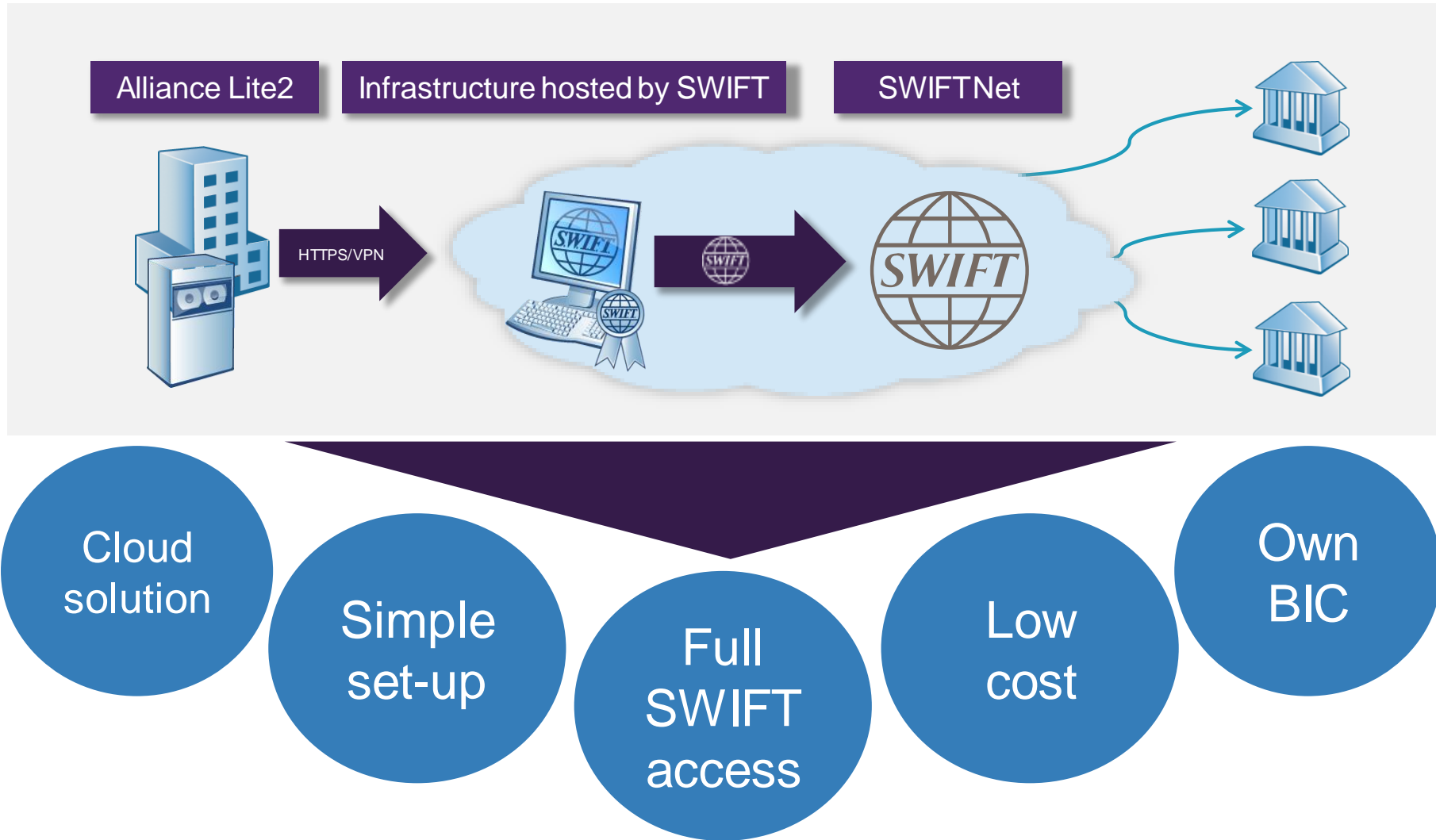
Standard **Windows PC / laptop**

4



SWIFT security tokens (USB)

Alliance Lite 2



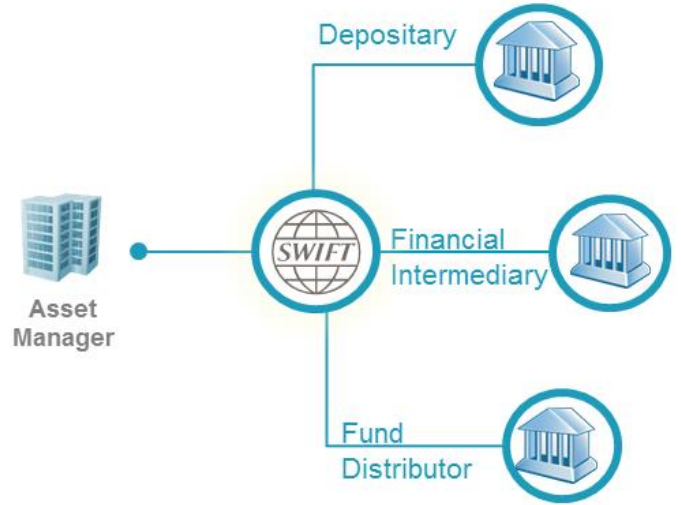
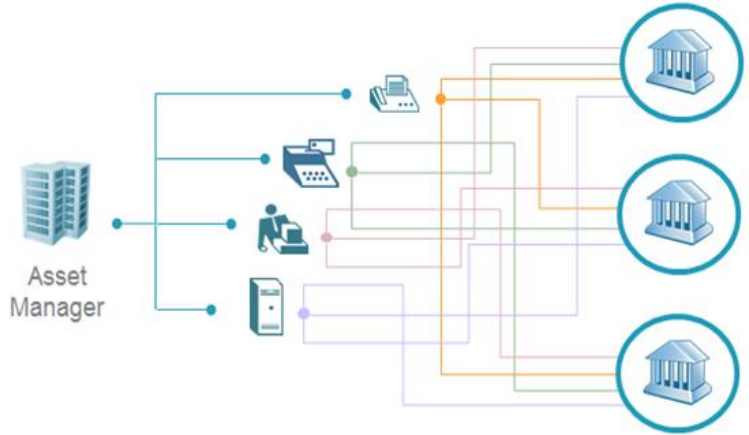
Alliance Lite 2 pricing

- ① **One-time setup fee**
- ② Messages and files sent are charged as per **standard SWIFT prices**
- ③ **All-inclusive monthly subscription fee** based on usage



SWIFT

A single,
standardised
gateway



Connectivity to a single **standardized network**

Same communication channel with all your counterparties

Security and resiliency of the network

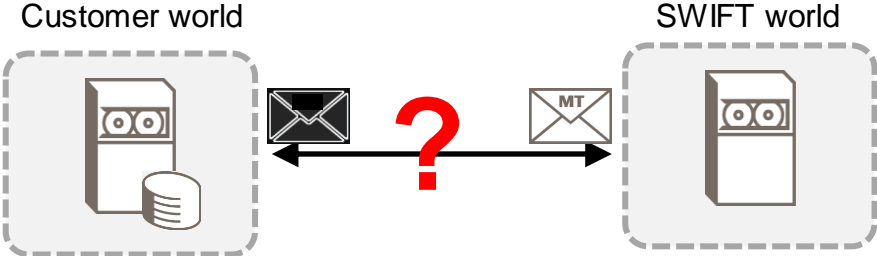
MiFID II and MiFIR reporting as of 01 January 2018 in ISO20022 format could be submitted using SWIFT





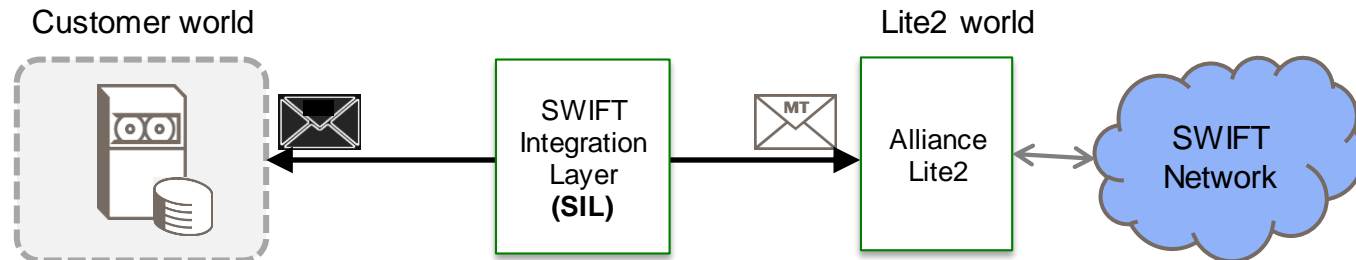
**Transforming the excel sheet
into SWIFT messages**

The drivers for integration solutions



The drivers for integration solutions

- Business applications are not designed to connect to SWIFT
- Discrepancy between internal message formats and SWIFT formats
- Specialised SWIFT skills are hard to find
- **With SWIFT Integration Layer (SIL), any format can be translated into SWIFT and back**



The idea ...

Continue to use the excel sheet as input tool

SWIFT will take care of the rest



Prerequisite

Proper data quality within the excel sheet

Five additional fields

SWIFT will do the validation based on agreed ISO rules



Set up

SWIFT will explain the usage of excel sheet during set up phase

SWIFT will also explain ISO rules



Examples for data quality

Transref	SWIFT validation rules
20053001000 (BVI sample)	max 16x

Settlement date	SWIFT validation rules
8/2/2005 (BVI sample)	YYYYMMDD



Additional fields

Account number of the sender at the recipient

1234

Quantity Type Code (Aktie oder Rente)

Aktie oder Rente



Additional fields

Place of Settlement

BIC

Receiving or delivering agent

REAG/BIC oder DEAG/BIC

REAG/DEAG Account number

1234



Q & A



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